The role of alpha activity in spatial and feature-based attention

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Abstract

Modulations in alpha oscillations (~10 Hz) are typically studied in the context of anticipating upcoming stimuli. Alpha power decreases in sensory regions processing upcoming targets compared to regions associated with distracting input, hereby promoting processing of relevant information while suppressing irrelevant information. In the current EEG study using healthy human volunteers we examined whether modulations in alpha power also occur after the onset of a bi-laterally presented target and distractor. Spatial attention was manipulated through spatial-cues and feature-based attention through adjusting the color-similarity of distractors to the target. Consistent with previous studies, we found that informative spatial cues induced a relative decrease of pre-target alpha power at occipital electrodes contralateral to the expected target location. Interestingly, this pattern re-emerged relatively late (300-750 ms) after stimulus onset, suggesting that lateralized alpha reflects not only preparatory attention, but also ongoing attentive stimulus processing.

Uninformative cues (i.e., conveying no information about the spatial location of the target) resulted in an interaction between spatial and feature-based attention in post-target alpha lateralization. When the target was paired with a low-similarity distractor, post-target alpha was lateralized (500-900 ms).

Crucially, the lateralization was absent when target selection was ambiguous because the distractor was highly similar to the target. Instead, during this condition mid-frontal theta was increased, indicative of conflict processing. Behaviorally, the degree of alpha lateralization was negatively correlated with the RT distraction cost induced by target-distractor similarity. These results suggest a pivotal role for post-stimulus alpha lateralization in protecting sensory processing of target information.
Significance Statement

A substantial amount of research has been dedicated to elucidating the role of alpha oscillations in preparation for upcoming targets and distractors. Considerably less research has focused on alpha activity after presentation of those stimuli. Using a novel paradigm, in which spatial attention was manipulated using informative and uninformative spatial cues and feature-based attention using distractors that varied parametrically in color-similarity to the target, we show that post-stimulus alpha hemispheric lateralization protects target processing after spatial and feature-based target selection. Modulations in alpha power can therefore be regarded as a general mechanism for direction of attention, not only for pro-active but also for reactive attention.
1. Introduction

Attention allows us to selectively process information that is relevant to our current goals and suppress irrelevant distractors. A number of studies have found a link between the allocation of preparatory selective visuospatial attention and hemispheric modulations of oscillatory activity in the alpha range (8 – 12 Hz) over occipital regions of the cortex. The power of alpha activity has been found to decrease over occipital regions contralateral to target presentation compared to when the target was expected ipsilaterally (Worden et al., 2000; Kelly et al., 2006; Thut et al., 2006; Kerlin et al. 2010). This lateralization is believed to result in enhanced processing of targets (Osipova et al., 2008; Weisz et al., 2011; Bauer et al., 2012; Lange et al., 2013) and decreased processing of distractors in downstream areas (Zumer et al., 2014). In the current study alpha activity is examined pre- and post-stimulus presentation, allowing the examination of alpha power changes during spatial and feature-based target selection.

While there is clear evidence for the role of alpha in spatial attention, its involvement in feature-based attention remains relatively unclear. Previous research has shown that mechanisms of feature-based attention prioritize target-related features in a spatially non-specific way, even when the location of the target is known (Saenz et al., 2002; Serences and Boynton, 2007; Andersen et al., 2008; Zhang and Luck, 2009). However, none of these previous studies have examined whether alpha oscillations in response to a spatially cued target can "protect" against attentional capture by a feature-based distractor. In terms of hierarchical processing in the visual system, it is clear that spatial attention operates through selection at lower (retinotopically mapped) levels of neuronal representations. Conversely, attentional selection of specific features can only occur in functionally specialized cortical regions (Snyder and Foxe, 2010). This raises the question of how higher-level featural attention depends upon spatial attention and vice versa. It could be the case that both spatial and featural attentional mechanisms operate together by boosting signals from the attended (retinotopic) location, or it may be
that each operates separately at the level of their specialized representations. Conversely, spatial
attention may itself be deployed in a way that is sensitive to feature-based attention, suggesting an
interaction between the two.

If mechanisms of feature-based selection recruit spatial attention once a target is identified,
then we might expect the presence of lateralized alpha even when a target can only be selected based
on color. Previous work has suggested that selection of an object based on features is followed by the
shift of spatial attention (Ögmen and Breitmeyer, 2006). Finding lateralized alpha during feature-based
selection would be novel evidence that spatial attention is recruited to suppress distractor processing in
order to shield target processing, once a target has been identified based on its non-spatial features. In
contrast, if the mechanisms of spatial and feature-based attention are largely independent, then we
would not expect effects of spatial attention associated with posterior alpha to impact feature-based
attentional capture, nor find changes in alpha activity when spatial cues are absent.

The purpose of the current experiment was to explore how spatial attention and featural
attention might interact. In what follows, we used spatial (hemispheric) lateralization of alpha
suppression to index the physiological correlates of spatial attention and asked whether this
neurophysiological signature depended upon attentional set for features. We employed a novel visual
cuing paradigm involving the presentation of a bilateral visual search array; spatial attention was
manipulated with a valid pre-cue and feature-based attention was manipulated by the degree of
distractor-to-target color similarity. Behavioral responses were collected simultaneously with ongoing
EEG that measured posterior alpha as an index of spatial attentional selection. While previous studies
have focused exclusively on the modulation of alpha power during the cue-target interval, we also
examined how post-target alpha activity was modulated as function of the distractibility of the target-
similar distractors.
In addition to alpha oscillations, we examined potential post-target differences in theta activity. Increased theta band activity in midfrontal channels has been found in situations of conflict and resolution processes (Cavanagh et al., 2012; Nigbur et al., 2012; Cohen and Donner, 2013; Cavanagh and Frank, 2014; Cohen, 2014; Van Driel et al., 2015) and could therefore be an indication of the absence of selective attention occurring in visual cortex.

In brief, we showed a profound interaction in alpha lateralization and a complementary modulation in theta activity, suggesting that attentional gain at lower (retinotopic) levels of the visual hierarchy is mediated by convergent spatial and feature attentional mechanisms.

2. Material and Methods

2.1 Participants

20 healthy participants (14 female) were recruited from the University of California Davis. The average age of included participants (n = 16, see section Methods and Materials, Analyses, Behavioral) was 24.8 years and all were right-handed. Participants reported normal or corrected-to-normal vision, no color blindness and no history of neurological or psychological illness. Before the start of the experiment signed informed-consent was obtained in accordance with the University of California, Davis Institutional Review Board.

2.2 Procedure

The stimuli were presented using Presentation software (Neurobehavioral Systems Inc., Albany, CA, USA) on a 24 inch monitor with a refresh rate of 60 Hz. Before the start of the experiment participants practiced at least 60 trials or more until they reached a threshold of 70 % correct. Participants were seated approximately 100 cm from the monitor.
2.3 Task

Participants reported the identity of a letter (A, B or C) presented in a target stimulus by making a 3-button alternative forced choice using their right hand. The target was a red diamond shaped box that appeared on the left or right of a central fixation cross with equal probability. The distance from the fixation cross to object center was 5.5 degrees of visual angle; and the width of the object from center to edge was 1.1 degrees.

A distractor was presented in a square shaped box in the hemifield opposite to target. The distractor also contained a letter (A, B or C), but the letter was never the same as the target. The color of the distractor box was the same red as the target (D1, luminance cd/m2 and CIE x,y= 48.8, .639, .343) or was 1 of 3 other colors ranging from orange to yellow (D2 = 52.7, .635, .343; D3= 73.4, .578, .385, D4 = 110, .510, .385). The brightest object based on luminance values was the most yellow distractor. Thus, luminance and target-similarity were opposite to each other and therefore the effect of one on attention cannot explain the effect of the other. To ensure that participants did not become over-trained on shape selection, the target and distractor shape were switched every block (e.g. square became target and diamond became distractor). The target and distractor colors remained the same.

Thus, while it would be possible for the subject to select the target entirely based on shape, color was never irrelevant. The target could be selected based on color on 75% of trials (D1 only occurred on 25% of trials), and while the target color was consistent throughout the experiment, the target shape changed from block-to-block (every 48 trials). This design increased the likelihood of subjects continuing to use color as a criterion for target selection, despite shape also being indicative. The behavioral results are evidence that mechanisms of feature-based attention for color led to greater selection of distractors as a function of target-color similarity.
In 50% of the trials a spatial cue (< or >) indicated the location (left or right) of the upcoming target 1200-1500 ms prior to visual search display with 100% validity (i.e., "cued trials"). On these trials, it was possible for participants to anticipate the target location. In the other 50% of trials, the cue (◊) was uninformative about the location of the target (i.e., "uncued" trials). On uncued trials, participants identified the target based on shape and color. Participants were asked not to make an eye-movement towards the target but to shift their attention covertly. Fixation was monitored using an EyeLink 1000 Desktop Mount (SR Research) eye-tracker acquiring data at 500 Hz from the right eye.

An example sequence of a trial is illustrated in Figure 1. First the cue (left, right, uninformative) was shown for 200 ms. A variable cue-target interval lasting 1200 - 1500 ms followed the cue to reduce temporal expectancies for the onset of the search screen. The target and distractor were shown for 150 ms followed by another variable target-cue interval, during which participants responded to the target. Every condition (2 cue x 4 distractor-similarity) included 108 trials for a total of 864 trials, lasting about 48 minutes. Trials were pseudo-randomized such that all possible trial types (distractor-type x cue-presence x lateralization x target letter) were presented before repeating trials. Breaks occurred every 48 trials.

2.4 EEG acquisition and processing

EEG were acquired using a 64-channel cap system with a 10/20 layout developed by Biosemi (Amsterdam, The Netherlands). The EEG was sampled at 1024 Hz and re-referenced to Cz during importation into Matlab (Natick, MA) using EEGLAB 11 (Delorme and Makeig, 2004). Using EEGLAB the EEG data were re-referenced to average reference, highpass-filtered at 0.5 Hz and epoched from -2.4 to +1.9 s, time-locked to target onset. Epochs were baseline-corrected using -0.2 s to 0 s pre-target. Incorrect trials and trials with an eye movement during the cue-target interval were
removed from further analyses (see section Methods and Materials, Analyses, Behavioral). Remaining ocular artefacts were removed using Independent Component Analysis (ICA, infomax algorithm) incorporated as the default ‘runica’ function in EEGLAB. A principle component analysis (PCA) was used to reduce dimensionality of the data prior to performing the ICA. Then data were transported into Fieldtrip 13_1_1b (Oostenveld at al., 2011) format. A semi-automatic routine (using the ft_rejectvisual function of Fieldtrip) was applied to the EEG data to remove epochs with noise.

2.5 Time Frequency Representation

Time frequency representations (TFRs) of power were estimated per trial, using sliding time windows tapered with a Hann window having an adaptive time window of three cycles for each frequency of interest ($\Delta T = 3/f$). Similar approaches were used by Osipova et al. (2006), Jokisch and Jensen (2007), Mazaheri et al. (2009) and Van Diepen et al. (2015). Although this approach uses a smaller number of cycles in comparison to Morlet wavelet approaches of time frequency decompositions (i.e. 5-7 cycles); it does afford the maximum temporal resolution for pre and post fluctuations in alpha power. A baseline correction was applied such that every time point represents the relative change in power from the average power at baseline ($\frac{\text{Power timepoint} - \text{Power baseline}}{\text{Power baseline}}$). A 400 ms interval prior to cue presentation was used as the baseline interval, ending 100 ms before the possible onset of a cue with the largest cue to target interval.

2.5 Analyses

2.5.1 Behavioral

Trials were rejected when the target was not identified or when participants moved their eyes towards the target or distractor. An eye movement was identified as a horizontal eye movement exceeding 1.5° from central fixation during the interval from cue presentation to the response. The quality of eye-tracker data of five participants was not sufficient to detect eye movements. For three of those
participants an electro-oculogram (EOG) was recorded at the outer canthi of the left and right eyes and eye movements were identified by visual inspection of the data. On average 7.1 % ± 1.5 of trials were removed due to eye movements. Eye movements were only removed using ICA for the two participants without eye data. (Results were similar when the analyses were repeated without these two participants.)

Participants scoring two standard deviations below average accuracy were excluded from analyses (two participants). In addition, the presence of a distractor-similarity-effect was assessed for every participant by applying an independent samples t-test between the reaction times (RTs) for trials with the most similar distractor (D1) and from the least similar distractor (D4), using correct trials only. Data of two participants were removed because they did not show a basic feature-based distractor similarity effect (p<0.05) (i.e., the target-colored distractor did not interfere more with target processing than a distractor from a different color category). This was surprising and suggested these subjects were atypical given that the effect was expected from decades of psychological research (e.g., Treisman and Gelade, 1980; Duncan and Humphreys, 1989; Wolfe, 2004). Note, however, that this exclusion criterion was entirely based on the main effect of feature-based attention and was orthogonal to our analyses of interest on the interaction between spatial cueing and feature-based attention. A second independent samples t-test confirmed that all remaining participants showed a significant reduction in RT in trials with an informative cue (see section Results, Behavioral), hence no data had to be excluded based on the absence of a spatial cuing effect.

The effect of condition on reaction times and percentage of correct responses was calculated using a 2-way repeated-measures ANOVA with 2 factors: cue-presence (cued/uncued) and distractor-color (D1 - D4). Furthermore, we examined for every condition whether participants scored above chance level, by
comparing the score against chance level (33.3 %), using an independent samples t-test (two-tailed, Bonferroni correction for multiple comparisons).

2.5.2 EEG

In order to increase signal-to-noise ratio, data were pooled over hemispheres by flipping the sources with respect to experimental condition, according to the procedures outlined in Buchholz et al. (2013, 2014). Data from trials with left targets were mirrored and pooled with data from right trials. This created a dataset in which the right hemisphere denotes activity ipsilateral to target presentation and the left hemisphere represents contralateral activity.

2.5.3 Statistics

Changes in alpha power (averaged across 8 – 12 Hz) between conditions were statistically assessed by means of the cluster (channels and time-points) level randomization (Maris and Oostenveld, 2007) procedure. This test controls the Type-1 error rate involving multiple comparisons (e.g. multiple channels and/or time-frequency tiles). A probability value here is obtained through the Monte Carlo estimate of the permutation p-value of the cluster of channels was by randomly swapping the conditions in participants 1000 times and calculating the maximum cluster-level test statistic. A similar procedure has been used in a number of previous studies (Jokisch and Jensen, 2007; Mazaheri et al., 2009).

2.5.4 Feature based attention

Trials with distractor similarity levels of D1 and D2 were pooled into a ‘high distraction’ condition while trials with distractors D3 and D4 were pooled to create a ‘low distraction’ condition. The decision for these groupings was driven by the reaction time performance of the participants (see Figure 2). The resulting conditions were tested individually for alpha lateralization after presentation of the visual
search display. As described above, a cluster-based permutation test was performed for every condition, contrasting ipsilateral to contralateral activity for all time points between 0 and 1500 ms. Reported results show topographies and statistics after averaging time-points containing a cluster of electrodes with a $p < 0.05$ (Monte-Carlo corrected for multiple comparisons).

2.5.5 Behavioral consequence of lateralization during feature-based attention

The relationship between the alpha lateralization and task performance was assessed by correlating the difference in reaction times between targets with low similarity distractors and those with high similarity distractors, with the posterior alpha lateralization across the participants. The obtained ‘distractor cost’ represents the behavioral consequence of increased distraction. Only uncued trials were used so that cue-related spatial attention did not affect the analysis. Alpha lateralization was calculated by subtracting the average alpha power contralateral to target presentation from alpha activity ipsilateral to target presentation. Therefore oscillatory power was averaged over channels and time-points showing a significant difference in lateralization between trials with low and high target-distractor-similarity. The difference in lateralization between low and high similarity distraction for every participant was correlated with the individual distractor cost using a Spearman rank correlation.

2.5.6 Frontal Theta

A difference in theta activity (averaged across 4 - 6 Hz) between the low and high distraction condition was also examined for every time point between 0 and 1500 ms. Theta activity was averaged over time-points containing a cluster with $p < 0.05$. Trials with left and right targets were pooled without transposing the data to an ipsilateral and contralateral set. Note that we are assuming here that featural attention does not show any (spatial) lateralization, enabling us to pool data from both hemispheres. The length of the sliding time-window used to calculate the power of a theta oscillation is wider than for
an alpha oscillation (3/f). The baseline was therefore changed to the pre-target period (-700 to -300), to avoid spurious results due to temporal leakage from the cue or post-target activity. Because only trials with uninformative cues were contrasted and left and right target trials were grouped, this pre-target period should not show any differences between conditions.

3. Results

3.1 Behavioral

The mean reaction time (RT) for correct trials was 847.4 ± 21.6 ms. The RT data were entered into a 2 × 4 repeated measures ANOVA. A main effect of cue (F (1, 15) = 85.4, p < .0001) was found such that RT was shorter for informative cue 799.3 ± 21.7 ms than for uninformative cue trials 895.5 ± 22.6 ms. Participants were thus able to use the cue to select the target faster (Figure 2). When distractor conditions were examined separately, all distractor conditions showed a benefit from cue presence (p < 0.001 for cued vs uncued trials, two-tailed, Bonferroni corrected for multiple comparisons).

A main effect of distractor-similarity was also present (F (3, 45) = 67.9, p < .0001). Distractors induced more distraction when the color was more similar to the target color. Trials with the highest target-distractor-similarity (D1) showed the longest reaction times 910.2 ± 24.6 ms. Reaction times decreased when distractor colors became less similar to the color of the target (D2) 875.2 ± 22.5 ms, (D3) 803.6 ± 21.0 ms, (D4) 798.8 ± 21.2 ms. Post-hoc tests were significant (p < .0001, Bonferroni corrected for multiple comparisons) for all possible pairs except distractors 3 and 4.

Most importantly, the interaction between the spatial cue and distractor-similarity was significant (F (3,45) = 34.8, p < .0001), suggesting that the spatial cue facilitated target selection to a different extent for the different distractor colors. The difference in reaction times for cued and uncued trials was largest...
for the most similar colors (D1) 159.8 ± 16.5 ms and decreased as distractors became less similar in color (D2) 126.3 ± 17.3 ms (D3) 51.5 ± 7.5 ms (D4) 46.9 ± 8.5 ms. Pairwise comparisons using a Bonferonni correction show that the amount of cue related benefit (RT uncued – RT cued) was significantly different between all distractor conditions (p < 0.049), except for D3 and D4 (p = 1.000).

The presence of a valid cue facilitated target detection when the distractor was highly target-similar, but the usefulness of the spatial cue was reduced when the target was highly distinct from the distractor and competition for attention during visual search was low. Thus, the spatial cue mainly facilitated behavior when feature-based competition for attention during visual search was high. Based on these RT results the distractor conditions that were most distracting (D1 & D2) and the least distracting (D3 & D4) were collapsed together in subsequent analyses, and labeled as ‘high-similarity’ distractors and ‘low-similarity’ distractors, respectively.

Average accuracy was 87.9 ± 9.7 %. Performance decreased when distractors were more similar to the target (main effect of distractor-type F (3,45) = 3.249, p = 0.016). No difference in performance was present between cued and uncued trials (no main effect cue-presence F (1,15) = 1.274, p = 0.277).

However, an interaction was present in accuracy scores between cue-presence and distractor-type (F (3,45) = 3.175, p = 0.033), indicating that cues only increased accuracy for trials with high target-distractor similarity. Furthermore, all participants scored above chance for every condition (33.4 % based on 3 target letter identities, or 50 % based on guessing after knowing what letter is in the target and distractor). T-tests show that scores for all conditions significantly differ from chance (p < 0.0001 for all comparisons, corrected for multiple comparisons).

Together, these data demonstrate that the effect of distractor similarity was attenuated when a valid spatial cue was presented, especially when the distractor was very target-similar. This suggests that participants used the spatial cue to pre-select the target location and that doing so "protected" them
against attentional capture by the feature-similar distractor. The spatial cue affected behavioral performance to a lesser extent when the distractor color was dissimilar, mostly likely because feature-based competition was low and performance was already at, or near, ceiling.

3.2 EEG

3.2.1 Informative spatial cues induce alpha lateralization in posterior channels

We first conducted an analysis on the main effect of cue-presence in order to replicate previous studies demonstrating alpha lateralization in response to a spatial cue. As expected, we found that after presentation of a cue signaling an upcoming left or right target, alpha activity in channels located contralateral to the distractor increased compared to channels contralateral to target location (Figure 3A & C, -1500 to 0 ms pre-target, $t = 27.23$, $p < 0.001$, Monte Carlo P-value, corrected for multiple comparisons). The modulation was absent when left and right target trials with uninformative cues were contrasted (Figure 3B & D, no clusters to report). A significant interaction in alpha lateralization was present between cued and uncued trials from -1050 to 0 ms prior to target onset (Figure 3E, $t = 19.94$, $p = 0.008$, Monte Carlo P-value, corrected for multiple comparisons). This demonstrates that participants did indeed attend to the cued location in this study and that doing so produced a difference in lateralized alpha.

3.2.2 Cue-induced alpha lateralization reduces feature-based distractor competition

In addition to preparatory changes in alpha activity, we also examined alpha activity after the visual search display was presented. In all conditions except when the cue was uninformative and target-distractor similarity was high, alpha activity was significantly lateralized such that it was lower over regions contra-lateral than ipsilateral to the target (Figure 4). Spatially cued trials with low-similarity distractors demonstrated this lateralization from 350 to 500 ms after target onset ($t = 23.68$, $p = 0.003$,
Monte Carlo P value, corrected for multiple comparisons); cued trials with high-similarity distractors from 0 to 150 ms and re-appearing again at 450 to 750 ms after target onset (t= 21.85, p = 0.002, Monte Carlo P value, corrected for multiple comparisons); and uncued trials with low-similarity distractors from 500 to 900 ms post target (t= 22.71, p = 0.004, Monte Carlo P value, corrected for multiple comparisons). Although there was significant alpha lateralization in these three conditions, note that the onset of alpha lateralization in all conditions was relatively late after stimulus onset; moreover, the onset in the cued conditions occurred earlier than the uncued condition. This suggests that alpha lateralization may reflect spatially lateralized attentive processing, rather than the initial selection of a particular object. Additionally, the fact that this process was later in the uncued condition is consistent with the idea that spatially selective processing of the target (and suppression of the distractor) was proceeded by the selection of the target based on its color.

It should be noted eye-movements were eliminated and therefore the target and distractor were clearly lateralized with respect to fixation throughout the trial. Therefore lateralized alpha reflected the degree to which attention was consistently oriented towards the target and away from the distractor during visual search. Clear lateralization suggested that the target was consistently selected with little attention to the distractor whereas a lack of alpha lateralization suggested that the distractor competed successfully to capture attention as frequently as it did not, on average.

These results are consistent with the RT data and provide further evidence that the spatial cue was used to successfully select the target and suppress the distractor, even in the presence of strong competition from a high-similarity distractor. Similarly, alpha lateralization in the uncued low-similarity condition confirms that spatial attention was easily drawn to the target because competition for attention based on feature-similarity was low. However, trials with an uninformative cue and a high-similarity distractor did not show any lateralization. This was even true when the statistical threshold was decreased, when a
pre-target instead of pre-cue baseline was used, and when activity was averaged over time (same time
interval as lateralization was found for uncued low distraction trials) to increase the signal-to-noise ratio.
This result is consistent with the RT data in indicating that the only condition in which the distractor
competed successfully against the target for attention was when there was no spatial cue and
distractor-similarity was high.

3.2.3 Individual variability in post-target alpha lateralization correlates with RT distractor cost on uncued trials
Together the previous RT and EEG results suggested that greater alpha lateralization during visual search
was associated with less distractor interference. Next, we tested whether this relationship predicted
individual differences in behavioral RT due to distractor-similarity in the uncued conditions. To do so, we
created an index of distractor cost (RT high-similarity minus RT low similarity) and an index of difference
in alpha lateralization (lateralization in high-similarity minus low-similarity). Note that less lateralization
in the high-similarity condition relative to the low-similarity condition produces larger negative values.
Inspection of the data indicated a single outlier (see Figure 5, green data point). Removal of the outlier
resulted in a significant correlation of $r = -0.53$, with $p = 0.047$, indicating that participants with greater
RT costs in the high-similarity condition also had less alpha lateralization in the high-similarity condition
relative to the low-similarity condition (Figure 5). To be conservative, we also ran the correlation with
the outlier, which produced marginally significant correlation, $r = -0.45$, $p = 0.082$. This result is
consistent with the group data in showing that alpha lateralization was indicative of less distractor
interference; and moreover, that the magnitude of the RT cost within an individual was correlated with
the degree of alpha lateralization in the high-similarity compared to low-similarity conditions. This
suggests a direct relationship between post-stimulus alpha lateralization and the degree of distraction
produced by a highly-similarity distractor.
3.2.4 Post-target Midfrontal theta increase for highest distraction

Our previous results suggest that a greater alpha lateralization translates to a better target selection and this was found at the group level between conditions as well as at the individual level for uncued trials. These results indicate that alpha lateralization is a reliable index of target selection and distractor suppression. Only one condition did not show significant alpha lateralization and this condition also produced the longest RTs indicating a failure to consistently suppress attention to the high-similarity distractor. However, inference regarding the presence of distractor competition in that condition was based on a null result: that is non-significant alpha lateralization. To further examine the hypothesis of increased attentional competition in this condition, we compared theta activity between the two uncued conditions (low-similarity vs. high-similarity distractors). If the lack of alpha lateralization in the high-similarity condition is due to greater distractor competition, we would expect a concomitant increase in midfrontal theta as a reflection of greater reactive attention control. Consistent with our expectations, the uncued trials with high-similarity distractors did indeed show an increase in average theta activity (4-6 Hz) in midfrontal channels in the time window 700 - 1250 ms after target onset compared to the low-similarity condition (t = 24.40, p = 0.013, Monte Carlo P value, corrected for multiple comparisons). No such difference was present between the cued conditions (Figure 6, no cluster to report). This is the opposite of the alpha lateralization contrast found between these same conditions in the previous analysis. The result is consistent with the conclusion that the lack of posterior alpha in the uncued high-similarity condition was due to increased distractor competition.

4. Discussion

Shifting attention to a particular location in space allows observers to detect objects in the attended location better than in unattended locations (Helmholtz, 1867; Posner, 1980). This effect is
supported by increases in the gain of sensory neurons with receptive fields over the attended location (Hillyard et al., 1994; Hopfinger et al., 2000; O’Connor et al., 2002). In contrast, attentional capture by task relevant features is spatially non-specific. For example, target-colored objects capture attention even if they appear in unattended, or even task-irrelevant, locations (Serences and Boynton, 2007; Zhang and Luck, 2009; Andersen et al., 2009). Thus, feature-based attention is thought to operate in parallel across the visual field leading to attentional capture by target-similar distractors (Folk et al., 1992), but it remains unclear if feature-based attentional capture interacts with spatial attention.

In the current visual search task, we explored the relationship between the mechanisms of spatial and feature-based attention. We manipulated spatial attention using a pre-cue, and feature-based attention through distractor-to-target similarity on a trial-by-trial basis. Reaction times verified that the spatial cue interacted with feature-based attention such that when present, interference from highly target-similar distractors was attenuated. The EEG results showed that the RT data reflected the degree of alpha activity lateralization in posterior channels after target presentation. Post-stimulus alpha power was higher contralateral to distractors compared to targets for all cued trials irrespective of distractor similarity, but occurred on uncued trials only with low-similarity distractors. That is, significant post-stimulus alpha lateralization occurred when there was a valid spatial cue irrespective of distractor competition, but also when distractor competition was weak and there was no spatial cue. This indicates that alpha lateralization indexes successful target processing (and distractor suppression) similarly when success is due to spatial cueing or low distractor competition. Moreover, the strength of alpha lateralization correlated with individual differences in the degree of distractor interference, suggesting that alpha activity is a general index for the strength of attentional competition between lateralized stimuli.

A lateralization of alpha activity arises due to the fact that different spatial locations are processed by different hemispheres. In other words, the modulations in alpha activity work as a spatial
filter on incoming information. When a target can only be detected based on color during the current
experiment, the presence of lateralized alpha activity indicates that the same mechanism used during
spatial selection is recruited to enhance target processing after feature-based selection. Finding
lateralized alpha during feature-based selection is novel evidence that spatial attention is recruited to
protect target processing.

If alpha lateralization reflects attentional processing, one might expect its onset to coincide with
the latency of Event-Related-Potential components known to reflect attentional selection ( Luck and
Hillyard, 1994). For example, the N2pc is an ERP component related to lateralized attention that usually
occurs between 200 to 300 ms after target presentation. Alpha lateralization in this study was found to
begin 350 ms after target presentation for cued trials, and 500 ms for uncued trials. This suggests that
the alpha modulation in our task occurred after initial attentional selection of the target. The slower
onset of lateralized alpha in the uncued compared to cued conditions is also consistent with ERP findings
that measurements of spatial attention are observed earlier (70 - 100 ms post-target onset, Gonzalez et
al., 1994) than changes due to feature-based attention (150 ms post-target onset, Anllo-Vento et al.,
1998). One hypothesis for this difference in latency is that the effect of feature-based attention seen at
150 ms may actually reflect processes that guide spatial attention to the target location after the target
had been selected based on its color (Öğmen and Breitmeyer, 2006). The slower onset of alpha
lateralization in the uncued condition in our study is consistent with this hypothesis and suggests that
targets were selected based on feature-based attentional processes that were followed by a shift in
spatial attention.

The fact that post-stimulus alpha lateralization started after stimulus offset in our experiment
implies that the inhibitory effect of alpha acted upon lingering visual representations in working
memory and not just on initial stimulus processing as would be present in the pre-target cue-induced
changes in alpha. Our results are consistent with a previous study that related post-stimulus alpha
lateralization to the efficient suppression of irrelevant information in short-term memory (Sauseng et al., 2009). During their experiment a spatial cue was used to indicate which hemifield contained to-be-remembered items and which contained distractors. They found that alpha activity contralateral to the to-be-ignored stimuli correlated with the successful suppression of distractors and better recall of items in the cued visual field. Our findings extend this result in showing that post-target alpha lateralization is related to distractor suppression both in response to spatial cues and feature-based selection. In addition, it is possible that in the uncued and high-similarity condition, subjects were forced to rely more heavily on the shape information to distinguish the target from the distractor and that this may have contributed to the delay in ability to select the correct target. Although we cannot know if subjects sometimes used both shape information in combination with color when color discrimination was difficult, the current results suggest that irrespective of what featural information was used to select the target, alpha lateralization reflected the continued attentional processing of the target, once it was identified and its location selected. It therefore seems that alpha power modulations reflect the gating of relevant information by inhibition of neuronal spiking (Haegens et al., 2011) in response to task-irrelevant information (Jensen and Mazaheri, 2010).

Interestingly, midfrontal theta showed the opposite pattern to alpha lateralization in the uncued conditions: the uncued high-similarity condition showed no significant alpha lateralization, but did show significant midfrontal theta in a later time period; and the low-similarity condition showed the opposite pattern. Midfrontal theta was present when alpha lateralization was absent and distractor competition was strong, suggesting that midfrontal theta reflected late reactive attentional control mechanisms to reject highly similar distractors that compete for attention when earlier posterior alpha did not successfully suppress the distractor. This suggests that posterior alpha and midfrontal theta reflect mechanisms of earlier and later control of attention, respectively. It should be noted that the time period of increased theta-band activity was late compared to typically found in other tasks (Cavanagh et
al., 2012; Nigbur et al., 2012; Cohen and Donner, 2013; Cavanagh and Frank, 2014; Van Driel et al., 2015), however, reaction times in our experiment were also slower.

In sum, our results demonstrate that the modulation of alpha activity is not only a preparatory mechanism for predicted target information and distraction, but also serves to protect processing of relevant information after its selection based on spatial or feature-based information.

**References**


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Figure captions

Figure 1. Task procedure (not at scale). The trial started with a 200 ms spatial cue. An informative cue indicated whether the target would appear on the right or left of the fixation box with 100% validity. An uninformative cue indicated that the target could appear at either side with 50% chance. Then participants had 1200 to 1500 ms to prepare for the upcoming target. A target and distractor were shown for 150 ms after which participants made a 3-forced choice response based on whether the target object contained the letter ‘A’, ‘B’, or ‘C’. After 1700 - 2000 ms the cue for the next trial was presented. The distractor box also contained a letter, but never the same letter as the target (importantly, because there were three possible letters, guessing the target identity based on the distractor letter would produce 33% correct performance).

Figure 2. Mean reaction times (+ SEM) as a function of distractor-type for cued and uncued. Uncued trials show that increased target-distractor-similarity (D1) slows down RTs. This effect was reduced when a spatial cue indicated target location prior to presentation.

Figure 3. Scalp topography of pretarget lateralization in alpha activity (averaged across 8-12 Hz, and time -1050 to 0 ms). (A,B) The grand average power of alpha activity for left minus right targets. (C,D) Data combined across hemispheres and compared for differences between channels
located contralateral and ipsilateral to target presentation. Warm colors indicate that alpha power was higher contralateral to distractor presentation (i.e. ipsilateral to target presentation). (E) The lateralization was significantly different between cued and uncued trials for all time points between -1050 to 0 ms prior to target presentation. White dots represent channels that showed a significant difference (p<0.05) using the cluster-based permutation test.

Figure 4. Scalp topographies and time course of alpha activity (averaged over 8 – 12 Hz) showing lateralization in alpha activity after presentation of the target and distractor. (A) Data combined across hemispheres and compared for differences between channels located contralateral and ipsilateral to target presentation. Warm colors indicate that alpha power was higher contralateral to distractor presentation (i.e. ipsilateral to target presentation). White dots represent channels that showed a significant difference (p<0.05) using the cluster-based permutation test. (B) Time courses of alpha activity for ipsilateral (blue) and contralateral (red) to target presentation. Grey shaded areas represent time-intervals where a significant lateralization was present as found by the cluster-based permutation test. Trials with an uninformative cue and high distraction were the only condition in which no lateralization of post-target alpha activity was present.

Figure 5. Correlation between the difference in post-target alpha lateralization and the cost in RT for uncued trials. Participants with a larger difference in lateralization between the high and low distraction showed more RT cost for high distraction trials. An outlier is shown in pink.

Figure 6. Scalp topographies showing a relative increase in post-target theta-activity (averaged across 4 – 6 Hz, and time 700 to 1250 ms) for trials with high target-distractor-similarity when no preceding cue was presented (left). White dots represent channels that showed a significant
difference (p<0.05) using the cluster-based permutation test. When a spatial cue was
presented prior to target presentation (right), no difference in theta was found between the
low and high target-distractor-similarity conditions.
cues:
- left cue
- right cue
- uninformative cue

targets:
- A
- B
- C

distractor colors:
- D1
- D2
- D3
- D4
Alpha [8 - 12 Hz] pre-target

A Left - Right

Cued

- 0.14 0 0.14
Power difference (µV²)

Cued vs Contralateral

B Uncued

D

E Cued vs Uncued

- 7.2 0 7.2
t-stat
A  Alpha [8 - 12 Hz] post-target

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<tr>
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<td>400 - 750 ms</td>
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<tr>
<td>Uncued / low similarity</td>
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<tr>
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B  Alpha time-course

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<tr>
<td>Uncued / high similarity</td>
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</tbody>
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- α contralateral to target
- α ipsilateral to target
$r = -0.53$
$p = 0.047$

outlier

high distraction - low distraction
Theta [4 - 6 Hz]
High distraction vs Low distraction

uncued
cued